

INITIAL INFORMATION DATA SHEET

INVENTOR INFORMATION

Inventor One Given Name:: Lawrence P.
Family Name:: Shields
Postal Address Line One:: 60 Pineapple
City:: Brooklyn
State or Providence:: NY
Postal or Zip Code:: 11201
Citizenship Country:: US

Inventor Two Given Name:: Amod
Family Name:: Bhargava
Postal Address Line One:: 22 Ridge Way
City:: North Andover
State or Providence:: MA
Postal or Zip Code:: 01845-4743
Citizenship Country:: India

Inventor Three Given Name:: Keith
Family Name:: Carsten
Postal Address Line One:: 1009 Barrenger Drive
City:: Danville
State or Providence:: CA
Postal or Zip Code:: 94506
Citizenship Country:: US

Inventor Four Given Name:: Robert H.
Family Name:: Maurer
Postal Address Line One:: 2 Crabtree Lane
City:: Levittown
State or Providence:: NY
Postal or Zip Code:: 11756
Citizenship Country:: US

Inventor Five Given Name:: Michael
Family Name:: Cranor
Postal Address Line One:: 1705 Blackjack Drive
City:: Round Rock
State or Providence:: TX
Postal or Zip Code:: 78681
Citizenship Country:: US

Inventor Six Given Name:: George
Family Name:: Brewster
Postal Address Line One:: 44 Meyersville Road
City:: Chatham Township
State or Providence:: NJ
Postal or Zip Code:: 07028
Citizenship Country:: US

Inventor Seven Given Name:: James A.
Family Name:: Humza
Postal Address Line One:: 4 Moselem Springs Court
City:: Skillman
State or Providence:: NJ
Postal or Zip Code:: 08553
Citizenship Country:: US

Inventor Eight Given Name:: James P.
Family Name:: Mooney
Postal Address Line One:: 105 Coopers Lane
City:: River Vale
State or Providence:: NJ
Postal or Zip Code:: 07675
Citizenship Country:: US

CORRESPONDENCE INFORMATION

Name Line One:: Leslie Gladstone Restaino
Name Line Two:: Riker, Danzig, Scherer, Hyland & Perretti LLP
Address Line One:: Headquarters Plaza
Address Line Two:: One Speedwell Avenue
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State or Providence:: NJ
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APPLICATION INFORMATION

Title Line One:: System for Execution of Trades Made
Title Line Two:: Pursuant to Stock Option and Purchase
Title Line Three:: Plans
Total Drawing Sheets:: 4
Formal Drawings?: Yes
Application Type:: Utility
Docket Number:: 4034-57

REPRESENTATIVE INFORMATION

Registration Number One:: 38,893

Registration Number Two:: 46,795

CONTINUITY INFORMATION

This application is a:: Non Prov. Of Provisional

>Application One:: 60/182,399

Filing Date:: Feb. 14, 2000